

?show files;ds

File 275:Gale Group Computer DB(TM) 1983-2003/Oct 23
(c) 2003 The Gale Group
File 476:Financial Times Fulltext 1982-2003/Oct 24
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File 610:Business Wire 1999-2003/Oct 24
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File 613:PR Newswire 1999-2003/Oct 24
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File 621:Gale Group New Prod.Annou.(R) 1985-2003/Oct 24
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File 624:McGraw-Hill Publications 1985-2003/Oct 23
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File 634:San Jose Mercury Jun 1985-2003/Oct 23
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File 636:Gale Group Newsletter DB(TM) 1987-2003/Oct 23
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File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
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Set	Items	Description
S1	245517	(FINANCIAL OR ESTATE OR INVESTMENT)() (MANAGEMENT OR PLANNING OR ARRANGEMENT? ? OR ADMINIST?) OR EMPLOYEE()BENEFIT? ?
S2	7964306	ASSET? ? OR SAVINGS OR DISBURS? OR PAYMENT? ? OR PROPERT??? OR RECEIPT? ? OR ANNUIT??? OR EARNINGS OR INCOME OR INTEREST OR RETURN? ? OR PROFIT? ? OR GAIN? ? OR RESOURCES OR CAPITAL - OR MONEY? ? OR MONIES OR DIVIDEND? ?
S3	479410	ASSIGN? ? OR ASSIGNMENT OR ASSIGNING OR ALLOCAT??? OR ALLO-T? OR REBALANC??? OR REALLOCAT??? OR APPORTION? OR REAPPORTION?
S4	3606442	ERISA OR EMPLOYEE()RETIREMENT()INCOME()SECURITY()ACT OR REGULATORY OR REGULATION? ? OR LEGAL()RESTRAINT? ? OR OVERSEE??? OR OVERSIGHT OR ADMINISTRATION OR GOVERN??? OR ENFORC? OR RESTRICT??? OR LAW? ? OR REG OR REGS OR LEGISLATION
S5	686219	AVOID? OR CIRCUMVENT??? OR (KEEP??? OR STAY??? OR STEER???-)() (CLEAR OR IN()MIND) OR NEGATE? ? OR NEGATING OR NEGATION OR PROHIBIT??? OR ESCAPE OR MINDFUL
S6	26294	(CONFLICT? ? OR CLASH?? OR DISHARMONY OR DISAGREE? OR INCOMPATIB?) (3N) (INTEREST OR ETHIC?? OR MORAL?? OR DUTY OR DUTIES OR PRINCIPLE? ?)
S7	61710	S2(3N)S3
S8	1752	S5(3N)S6
S9	3607213	S4 OR S8
S10	170	S1(S) (S7(S)S9)
S11	981	S4 AND S8
S12	0	S1(S) (S7(S)S11)
S13	6	S7(S)S11
S14	71707	S2(5N)S3
S15	8	S11(S)S14 }
S16	7	S15 NOT PY>2002
S17	7	S16 NOT PD=20020212:20031130
S18	7	RD (unique items)

18/3,K/4 (Item 1 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
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04784678 Supplier Number: 65329103 (USE FORMAT 7 FOR FULLTEXT)
**Should firms that provide financial products to defined contribution plans
be allowed to give advice as well?**
Defined Contribution News, v8, n19, p9
Sept 11, 2000
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 788

... to give advice, and that the potential pitfalls, such as liability
for bad advice and *conflict* of *interest* issues, can be *avoided* as
long as the advice is solid. "Vendors must have a reasonable basis for
advice," he said. He explained investment advice should take into account
the best *asset* *allocation* mix for a participant, the amount of risk
that a participant should take based on...

18/3,K/6 (Item 3 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
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04104630 Supplier Number: 53970384 (USE FORMAT 7 FOR FULLTEXT)
JOHN McLAUGHLIN.
Money Management Letter, v19, n4, p12(1)
Feb 22, 1999
Language: English Record Type: Fulltext
Document Type: Newsletter; Professional Trade
Word Count: 1494

... offers advice and recommendations on asset allocation, manager
changes and performance measurement. "Clients hand the *oversight* of the
investment area to us," said McLaughlin in explaining how the fiduciary
service differs...

18/AA,AN,TI/1 (Item 1 from file: 624)
DIALOG(R)File 624:(c) 2003 McGraw-Hill Co. Inc. All rts. reserv.

00950400

APPA, NRECA PROTEST APPOINTMENT OF ATTORNEY TO RIVER COMMISSIONS

18/AA,AN,TI/2 (Item 2 from file: 624)
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0445169

FTA to contract out 14 management projects

18/AA,AN,TI/3 (Item 3 from file: 624)
DIALOG(R)File 624:(c) 2003 McGraw-Hill Co. Inc. All rts. reserv.

0024351

ALLEGHENY'S NEW CHAIRMAN STARTS PICKING UP THE PIECES: TRAVERS MUST CUT
COSTS AND PAY DOWN \$1 BILLION IN DEBT TRAVERS, WHO OFFERED TO WORK FOR
FREE, IS SLASHING CORPORATE OVERHEAD BY 40%

18/AA,AN,TI/4 (Item 1 from file: 636)
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04784678 Supplier Number: 65329103

Should firms that provide financial products to defined contribution plans
be allowed to give advice as well?

18/AA,AN,TI/5 (Item 2 from file: 636)
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04485894 Supplier Number: 57547453

UN: Fifth Committee takes up section of proposed pr programme budget on
common support services.

18/AA,AN,TI/6 (Item 3 from file: 636)
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04104630 Supplier Number: 53970384
JOHN McLAUGHLIN.

18/AA,AN,TI/7 (Item 4 from file: 636)
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03753573 Supplier Number: 48119083

UN: Fifth Committee continues considering human resources management,
1998-1999 budget sections (PART 2)